# A4 DAERA Logo process.png

**Equality & Disability Duties**

**Screening Template**

# **Screening flowchart and template (taken from Section 75 of the Northern Ireland Act 1998 – A Guide for public authorities April 2010 *(Appendix 1)).***

**Introduction**

**Part 1. Policy scoping** – asks public authorities to provide details about the policy, procedure, practice and/or decision being screened and what available evidence you have gathered to help make an assessment of the likely impact on equality of opportunity and good relations.

**Part 2. Screening questions** – asks about the extent of the likely impact of the policy on groups of people within each of the Section 75 categories. Details of the groups consulted and the level of assessment of the likely impact. This includes consideration of multiple identity and good relations issues. This section also includes two questions related to the Disability Duties.

**Part 3. Screening decision** –guides the public authority to reach a screening decision as to whether or not there is a need to carry out an equality impact assessment (EQIA), or tointroducemeasures to mitigate the likely impact, or the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

**Part 4. Monitoring** –provides guidance to public authorities on monitoring for adverse impact and broader monitoring.

**Part 5. Consideration of Human Rights** – please note this is not a Human Rights Screening form but rather a prompt that impacts on Human Rights should be considered.

**Part 6. Approval and authorisation** – verifies the public authority’s approval of a screening decision by a senior manager responsible for the policy.

A screening flowchart is provided overleaf.

Policy Scoping

* + Policy
  + Available data

Screening Questions

* Apply screening questions
* Consider multiple identities

Screening Decision: None/Minor/Major

Mitigate

Publish Template

Re-consider screening

Publish Template

for information

Publish Template

EQIA

Monitor

**‘None’**

Screened out

**‘Major’**

Screened in for EQIA

**‘Minor’**

Screened out with mitigation

Concerns raised with evidence

Concerns raised with evidence re: screening decision

**Part 1. Policy scoping**

The first stage of the screening process involves scoping the policy under consideration. The purpose of policy scoping is to help prepare the background and context and set out the aims and objectives for the policy, being screened. At this stage, scoping the policy will help identify potential constraints as well as opportunities and will help the policy maker work through the screening process on a step by step basis.

Public authorities should remember that the Section 75 statutory duties apply to internal policies (relating to people who work for the authority), as well as external policies (relating to those who are, or could be, served by the authority).

**Information about the policy**

**Name of the policy**

The Spirit Drinks Regulations (Northern Ireland) 2023.

**Is this an existing, revised or a new policy?**

This is an existing policy.

**What is it trying to achieve? (intended aims/outcomes)**

Since 2008, spirit drinks have been regulated in the EU by Regulation (EC) 110/2008 and the powers for enforcement in Northern Ireland provided for by the UK wide, Spirit Drinks Regulations 2008. Regulation (EC) 110/2008 has been replaced by Regulation (EU) 2019/787, which came into force on 25 May 2021. Aspects of (EU) 2019/787 which relate to Geographic Indicators (GIs) came into force on 8 June 2019 and were dealt with on a UK wide basis in the [Spirit Drinks and Scotch Whisky (Amendment) Regulations 2019](https://www.legislation.gov.uk/uksi/2019/1289/made/data.pdf). Under the terms of the Withdrawal Agreement, the non-GI aspects of (EU) 2019/787 continue to apply in Northern Ireland.

No new policy will be introduced. The Spirit Drinks Regulations (Northern Ireland) 2023 (the 2023 Regulations) consolidate and replace the non-GI rules in the Spirit Drinks Regulations 2008, making technical amendments so that that (EU) 2019/787 can be enforced in Northern Ireland in the same way (EC) 110/2008 was.

**Are there any Section 75 categories which might be expected to benefit from the intended policy?**

**If so, explain how.**

None.

**Who initiated or wrote the policy?**

The Department for Environment, Food and Rural Affairs (Defra) made the Spirit Drinks Regulations 2008 on a UK-wide basis in order to enforce EU requirements relating to the labelling and presentation of Spirit Drinks as well as the protection of GIs for spirit drinks.

The European Commission initiated (EU) 2019/787 and Defra amended the 2008 regulations on a UK-wide basis in 2019 in order to ensure enforcement powers were in place in relation to the reserved GI aspects of (EU) 2019/787 and again in 2020 to provide operability amendments to prepare the SI for the end of transition period after EU-Exit.

The Department of Agriculture, Environment and Rural Affairs (DAERA) have wrote the 2023 Regulations to consolidate and replace the non-GI rules in the Spirit Drinks Regulations 2008, making technical amendments so that that (EU) 2019/787 can be enforced in Northern Ireland in the same way (EC) 110/2008 was.

**Who owns and who implements the policy?**

The Department of Agriculture, Environment and Rural Affairs (DAERA) is responsible for the Spirit Drinks Regulations 2008 as they relate to Northern Ireland and is responsible for updating them, as under the terms of the Withdrawl Agreement the new EU rules will apply in Northern Ireland and not in the rest of the UK. They are enforced by District Councils.

**Implementation factors**

**Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision?**

No.

**If yes, are they (please delete as appropriate)**

**Financial**

**Legislative**

**other, please specify** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Main stakeholders affected**

**Who are the internal and external stakeholders (actual or potential) that the policy will impact upon? (please delete as appropriate)**

**~~staff~~**

**~~service users~~**

**~~other public sector organisations~~**

**~~voluntary/community/trade unions~~**

**other, please specify**

Business groups involved with the manufacture, processing or importation of spirit drinks.

Other policies with a bearing on this policy

* **What are they?**

The EU Withdrawal Agreement stipulates that certain EU Regulations will apply to Northern Ireland, including Regulation (EU) 2019/787 on spirit drinks.

* **Who owns them?**

The Withdrawal Agreement was agreed between UK Government and the EU. DAERA is responsible for ensuring that relevant domestic legislation is updated to allow for enforcement of relevant EU legislation, such as (EU) 2019/787.

**Available evidence**

Evidence to help inform the screening process may take many forms. Public authorities should ensure that their screening decision is informed by relevant data. The Commission has produced this guide to [signpost to S75 data](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/Public%20Authorities/S75DataSignpostingGuide.pdf).

What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for each of the Section 75 categories.

*Please ensure all data used is the most current and up to date available. You should verify this by contacting the Departmental Statisticians.*

**Religious belief** evidence/information:

No data is available on the religious beliefs of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However Census 2021 figures show the following breakdown of ‘religious belief or religion brought up in’ throughout the NI population:

* Catholic – 46%
* Protestants & other Christian religions – 43%
* Other religions – 1%
* None – 9%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the religious beliefs of people involved with the manufacture, processing or importation of spirit drinks.

**Political Opinion** evidence/information:

No data is available on the political opinions of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However results from the Northern Ireland Life and Times 2022 survey showed the following political opinions of those interviewed:

* Unionist – 31%
* Nationalist – 26%
* Neither – 38%
* Other – 1%
* Don’t know – 4%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the political opinion of people involved with the manufacture, processing or importation of spirit drinks.

**Racial Group** evidence/information:

No data is available on the racial makeup of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures show 97% of the population identify as White.

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the race of people involved with the manufacture, processing or importation of spirit drinks.

**Age** evidence/information:

No data is available on the age of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures show the following age breakdown for the NI population:

* 0-14 – 19%
* 15-39 – 31%
* 40-64 – 32%
* 65 and over – 17%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the age of people involved with the manufacture, processing or importation of spirit drinks.

**Marital Status** evidence/information:

No data is available on the marital status of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures show the following marital status breakdown for the NI population:

* Single – 38%
* Married or civil partnership – 46%
* Separated – 4%
* Divorced or former civil partnership – 6%
* Widowed or surviving partner from civil partnership – 6%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the marital status of people involved with the manufacture, processing or importation of spirit drinks.

**Sexual Orientation** evidence/information:

No data is available on the sexual orientation of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures show the following marital status breakdown for the NI population:

* Straight or heterosexual – 90%
* Gay, lesbian, bisexual or other sexual orientation - 2%
* Prefer not to say or not stated - 8%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the sexual orientation of people involved with the manufacture, processing or importation of spirit drinks.

**Men & Women generally** evidence/information:

No holistic data is available on the gender of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, figures from Northern Ireland Statistics and Research Agency’s (NISRA’s) 2021 Business Register and Employment Survey[[1]](#footnote-1), show that 372 people were involved in the “distilling, rectifying and blending of spirits”, broken down as:

* Female – 29%
* Male – 71%

Census 2021 figures show the following figures for the NI population as a whole:

* Female – 51%
* Male – 49%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of men & women generally who are involved with the manufacture, processing or importation of spirit drinks.

**Disability** evidence/information:

No data is available on the disability status of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures on day to day activities being limited because of long term health problems or disabilities showed the following results for the NI population:

* Activities not limited – 74%
* Limited a little – 13%
* Limited a lot – 11%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of the disability of people involved with the manufacture, processing or importation of spirit drinks.

**Dependants** evidence/information:

No data is available on the number of dependants of individuals or businesses involved in the manufacture, processing or importation of spirit drinks. However, Census 2021 figures show the following household structure figures on dependants for the NI population:

* No dependent children – 71%
* One – 11%
* Two – 11%
* Three or more – 6%

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. As the draft 2023 Regulations do not represent any change in policy, no impacts were raised in respect of dependants of people involved with the manufacture, processing or importation of spirit drinks.

**Needs, experiences and priorities**

Taking into account the information referred to above, what are the different needs, experiences and priorities of each of the following categories, in relation to the particular policy/decision?

**Specify details of the needs, experiences, and priorities for each of the Section 75 categories below:**

***Religious belief***

Religious belief does not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Political Opinion***

Political opinion does not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Racial Group***

Racial groups do not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Age***

Age does not create any specific needs, experience or priorities in relation to the 2023 Regulations policy.

***Marital status***

Marital status does not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Sexual orientation***

Sexual orientation does not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Men and Women Generally***

Men and Women generally do not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Disability***

Disability does not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

***Dependants***

Dependants do not create any specific needs, experience or priorities in relation to the 2023 Regulations’ policy.

**Part 2. Screening questions**

**Introduction**

In making a decision as to whether or not there is a need to carry out an equality impact assessment, the public authority should consider its answers to the questions 1-4.

If the public authority’s conclusion is **none** in respect of all of the Section 75 equality of opportunity and/or good relations categories, then the public authority may decide to screen the policy out. If a policy is ‘screened out’ as having no relevance to equality of opportunity or good relations, a public authority should give details of the reasons for the decision taken.

If the public authority’s conclusion is **major** in respect of one or more of the Section 75 equality of opportunity and/or good relations categories, then consideration should be given to subjecting the policy to the equality impact assessment procedure.

If the public authority’s conclusion is **minor** in respect of one or more of the Section 75 equality categories and/or good relations categories, then consideration should still be given to proceeding with an equality impact assessment, or to:

* measures to mitigate the adverse impact; or
* the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

**In favour of a ‘major’ impact**

1. The policy is significant in terms of its strategic importance;
2. Potential equality impacts are unknown, because, for example, there is insufficient data upon which to make an assessment or because they are complex, and it would be appropriate to conduct an equality impact assessment in order to better assess them;
3. Potential equality and/or good relations impacts are likely to be adverse or are likely to be experienced disproportionately by groups of people including those who are marginalised or disadvantaged;
4. Further assessment offers a valuable way to examine the evidence and develop recommendations in respect of a policy about which there are concerns amongst affected individuals and representative groups, for example in respect of multiple identities;
5. The policy is likely to be challenged by way of judicial review;
6. The policy is significant in terms of expenditure.

**In favour of ‘minor’ impact**

1. The policy is not unlawfully discriminatory and any residual potential impacts on people are judged to be negligible;
2. The policy, or certain proposals within it, are potentially unlawfully discriminatory, but this possibility can readily and easily be eliminated by making appropriate changes to the policy or by adopting appropriate mitigating measures;
3. Any asymmetrical equality impacts caused by the policy are intentional because they are specifically designed to promote equality of opportunity for particular groups of disadvantaged people;
4. By amending the policy there are better opportunities to better promote equality of opportunity and/or good relations.

**In favour of none**

1. The policy has no relevance to equality of opportunity or good relations.
2. The policy is purely technical in nature and will have no bearing in terms of its likely impact on equality of opportunity or good relations for people within the equality and good relations categories.

Taking into account the evidence presented above, consider and comment on the likely impact on equality of opportunity and good relations for those affected by this policy, in any way, for each of the equality and good relations categories, by applying the screening questions given overleaf and indicate the level of impact on the group i.e. minor, major or none.**Screening questions**

1. **What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 equality categories?**

Please provide details of the likely policy impacts and determine the level of impact for each S75 categories below i.e. either minor, major or none.

**Details of the likely policy impacts on *Religious belief*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to religious belief expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised on the grounds of religious belief.

**Details of the likely policy impacts on *Political Opinion:***

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to political opinion expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to political opinion.

**Details of the likely policy impacts on *Racial Group*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to racial group expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised on the grounds of race.

**Details of the likely policy impacts on *Age*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to age expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to age.

**Details of the likely policy impacts on *Marital Status*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to marital status expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to marital status.

**Details of the likely policy impacts on *Sexual Orientation*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to sexual orientation expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised on the grounds of sexual orientation.

**Details of the likely policy impacts on *Men and Women*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to gender expected as a result.

**What is the level of impact?**

None. Whilst the available data shows that a higher proportion of men work in the “distilling, rectifying and blending of spirits” when compared to the general population, there is no holistic data available on the gender of individuals or businesses involved in the wider manufacture, processing or importation of spirit drinks. However, as the changes are technical in nature (e.g. regarding the definitions, labelling and presentation of spirit drinks), no impact is anticipated on the basis of gender.

The Department also undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to men and women.

**Details of the likely policy impacts on *Disability*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to disability expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to disability.

**Details of the likely policy impacts on *Dependants*:**

The 2023 Regulations consolidate existing enforcement legislation, making technical amendments which update legislative references so that new EU rules can be enforced in NI in the same way the previous EU rules were enforced. They do not represent a change in policy and so no impacts are expected either generally, or specifically on equality of opportunity related to dependants expected as a result.

**What is the level of impact?**

None. The Department undertook a targeted stakeholder consultation on the 2023 Regulations and no concerns were raised in relation to dependants.

1. **Are there opportunities to better promote equality of opportunity for people within the Section 75 equalities categories?**

No. The 2023 Regulations are specifically intended to provide adequate enforcement powers for District Council staff to fulfil the duties and obligations of (EU) 2019/787 as it applies in Northern Ireland, and does not reflect particular section 75 categories.

**Detail opportunities of how this policy could promote equality of opportunity for people within each of the Section 75 Categories below:**

***Religious Belief* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to religious belief expected as a result.

***Political Opinion* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to political opinion expected as a result.

***Racial Group* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to racial group expected as a result.

***Age* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to age expected as a result.

***Marital Status* - If Yes, provide details:**

**If No, provide reasons**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to marital status expected as a result.

***Sexual Orientation* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to sexual orientation expected as a result.

***Men and Women generally* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to gender expected as a result.

***Disability* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to disability expected as a result.

***Dependants* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and so there is no opportunity to promote equality of opportunity related to dependants expected as a result.

1. **To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion or racial group?**

Please provide details of the likely policy impact and determine the level of impact for each of the categories below i.e. either minor, major or none.

**Details of the likely policy impacts on *Religious belief*:** (insert text here)

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no impact on good relations between people of different religious belief.

**What is the level of impact?**

None.

**Details of the likely policy impacts on *Political Opinion*:** (insert text here)

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no impact on good relations between people with different political opinions.

**What is the level of impact?**

None.

**Details of the likely policy impacts on *Racial Group*:** (insert text here)

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no impact on good relations between different racial groups.

**What is the level of impact?**

None.

1. **Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?**

Detail opportunities of how this policy could better promote good relations for people within each of the Section 75 Categories below:

***Religious Belief* - If Yes, provide details:**

**If No, provide reasons:**

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no opportunity to better promote good relations between people of different religious belief.

***Political Opinion* - If Yes, provide details:**

**If No, provide reasons**

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no opportunity to better promote good relations between people with different political opinions.

**Racial Group - If Yes, provide details:**

**If No, provide reasons**

The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore will have no opportunity to better promote good relations between people of different racial groups.

**Additional considerations**

**Multiple identity**

Generally speaking, people can fall into more than one Section 75 category. Taking this into consideration, are there any potential impacts of the policy/decision on people with multiple identities?  If so, please detail below.

(*For example; disabled minority ethnic people; disabled women; young Protestant men; and young lesbians, gay and bisexual people).*

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy. The 2023 Regulations will apply to all spirit drink stakeholders in Northern Ireland and therefore there will be no impact on people with multiple identities.

**Provide details of data on the impact of the policy on people with multiple identities. Specify relevant Section 75 categories concerned.**

N/A.

DAERA also has legislative obligations to meet under the Disability Discrimination Order. Questions 5 – 6 relate to these.

Consideration of Disability Duties

1. **Does this proposed policy or decision provide an opportunity for DAERA to better *promote positive attitudes* towards disabled people?**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and therefore there is no opportunity to better promote positive attitudes towards disabled people.

6. **Does this proposed policy or decision provide an opportunity to actively *increase the participation* by disabled people in public life?**

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and therefore there is no opportunity to actively increase the participation by disabled people in public life.

**Part 3. Screening decision**

**If the decision is *not to conduct an equality impact assessment*, please provide details of the reasons.**

Since 2008, spirit drinks have been regulated in the EU by Regulation (EC) 110/2008 and the powers for enforcement in Northern Ireland provided for by the UK wide, Spirit Drinks Regulations 2008. Regulation (EC) 110/2008 has been replaced by Regulation (EU) 2019/787, which came into force on 25 May 2021. Aspects of (EU) 2019/787 which relate to Geographic Indicators (GIs) came into force on 8 June 2019 and were dealt with on a UK wide basis in the [Spirit Drinks and Scotch Whisky (Amendment) Regulations 2019](https://www.legislation.gov.uk/uksi/2019/1289/made/data.pdf). Under the terms of the Withdrawal Agreement, the non-GI aspects of (EU) 2019/787 continue to apply in Northern Ireland.

No new policy will be introduced. The Spirit Drinks Regulations (Northern Ireland) 2023 (the 2023 Regulations) consolidate and replace the non-GI rules in the Spirit Drinks Regulations 2008, making technical amendments so that that (EU) 2019/787 can be enforced in Northern Ireland in the same way (EC) 110/2008 was.

The 2023 Regulations consolidate existing legislation, making technical amendments to bring it into line with the current EU legislation. They do not represent a change in policy and there are no differential impacts on any section 75 groups or implications relating to good relations, human rights or disability duties.

The Department also carried out a 12 week consultation with targeted stakeholders on the draft 2023 Regulations, and invited views or evidence on any equality impacts. No impacts were raised in respect of equality of opportunity and/or good relations for people involved with the manufacture, processing, or importation of spirit drinks.

**If the decision is not to conduct an equality impact assessment the public authority should consider if the policy should *be mitigated or an alternative policy be introduced* - please provide details.**

N/A.

**If the decision is to *subject the policy to an equality impact assessment*, please provide details of the reasons.**

N/A.

All public authorities’ equality schemes must state the authority’s arrangements for assessing and consulting on the likely impact of policies adopted or proposed to be adopted by the authority on the promotion of equality of opportunity. The Commission recommends screening and equality impact assessment as the tools to be utilised for such assessments. Further advice on equality impact assessment may be found in a separate Commission publication: [A Practical Guide to Equality Impact Assessment](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/PracticalGuidanceonEQIA2005.pdf?ext=.pdf)

**Mitigation**

When the public authority concludes that the likely impact is ‘minor’ and an equality impact assessment is not to be conducted, the public authority may consider mitigation to lessen the severity of any equality impact, or the introduction of an alternative policy to better promote equality of opportunity or good relations.

**Can the policy/decision be amended or changed or an alternative policy introduced to better promote equality of opportunity and/or good relations?**

No.

**If so, *give the reasons* to support your decision, together with the proposed changes/amendments or alternative policy.**

**N/A.**

**Timetabling and prioritising**

Factors to be considered in timetabling and prioritising policies for equality impact assessment.

If the policy has been **‘screened in’** for equality impact assessment, then please answer the following questions to determine its priority for timetabling the equality impact assessment.

**On a scale of 1-3, with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for equality impact assessment.**

| **Priority criterion** | **Rating (1-3)** |
| --- | --- |
| Effect on equality of opportunity and good relations |  |
| Social need |  |
| Effect on people’s daily lives |  |
| Relevance to a public authority’s functions |  |
| **Total score** |  |

Note: The Total Rating Score should be used to prioritise the policy in rank order with other policies screened in for equality impact assessment. This list of priorities will assist the public authority in timetabling. Details of the Public Authority’s Equality Impact Assessment Timetable should be included in the quarterly Screening Report.

**Is the policy affected by timetables established by other relevant public authorities?**

**If yes, please provide details.**

Regulation (EU) 2019/787 came into force on 25 May 2021 and has been directly applicable in Northern Ireland since then. It is necessary to bring forth this domestic enforcement legislation as soon as possible to compliment the EU regulation.

**Part 4. Monitoring**

Section 75 places a requirement on DAERA to have equality monitoring arrangements in place in order to assess the impact of policies and services etc; and to help identify barriers to fair participation and to better promote equality of opportunity. Please note the following excerpt from The Equality Commission for Northern Ireland in relation to monitoring:

*A system must be established to monitor the impact of the policy in order to find out its effect on relevant groups. The results of ongoing monitoring must be reviewed on an annual basis. The public authority is required to publish the results of this monitoring. And they must be included in the public authorities´ annual review on progress to the Equality Commission. The Equality Scheme must specify how and where such monitoring information will be published. It is therefore essential that monitoring is carried out in a systematic manner and that the results are widely and openly published.*

*If the monitoring and analysis of results over a two year period show that the policy results in greater adverse impact than predicted, or if opportunities arise which would allow for greater equality of opportunity to be promoted, the public authority must ensure that the policy is revised to achieve better outcomes for the relevant equality groups.*

Further advice on monitoring can be found at: [ECNI Monitoring Guidance for Public Authorities](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/S75MonitoringGuidance2007.pdf?ext=.pdf)

Outline what data you will collect in the future in order to monitor the impact of this policy or decision on equality, good relations and disability duties.

**Equality:**

Since the 2023 Regulations do not introduce new policy, it is not necessary to monitor their specific impact on equality. However, the Department invited comments from business groups involved in the manufacture, processing or importation of spirit drinks during the targeted 12 week consultation on the 2023 Regulations. None were received. The Department plans to publish a summary of the outcome of that consultation along with the draft assessment of the policy underpinning the 2023 Regulations on www.daera-ni.gov.uk. The absence of comment or feedback from business groups received during the consultation have been used to inform this screening exercise on the 2023 Regulations. The Department will continue to have open channels of communication with stakeholders involved with the 2023 Regulations and monitor for any unintended negative consequences.

**Good Relations:**

Since the 2023 Regulations do not introduce new policy, it is not necessary to monitor their specific impact on equality. However, the Department invited comments from business groups involved in the manufacture, processing or importation of spirit drinks during the targeted 12 week consultation on the 2023 Regulations. None were received. The Department plans to publish a summary of the outcome of that consultation along with the draft assessment of the policy underpinning the 2023 Regulations on www.daera-ni.gov.uk. The absence of comment or feedback from business groups received during the consultation have been used to inform this screening exercise on the 2023 Regulations. The Department will continue to have open channels of communication with stakeholders involved with the 2023 Regulations and monitor for any unintended negative consequences.

**Disability Duties:**

Since the 2023 Regulations do not introduce new policy, it is not necessary to monitor their specific impact on equality. However, the Department invited comments from business groups involved in the manufacture, processing or importation of spirit drinks during the targeted 12 week consultation on the 2023 Regulations. None were received. The Department plans to publish a summary of the outcome of that consultation along with the draft assessment of the policy underpinning the 2023 Regulations on www.daera-ni.gov.uk. The absence of comment or feedback from business groups received during the consultation have been used to inform this screening exercise on the 2023 Regulations. The Department will continue to have open channels of communication with stakeholders involved with the 2023 Regulations and monitor for any unintended negative consequences.

**Part 5. Consideration of Human Rights**

1. **The Human Rights Act (HRA) 1998 brings the European Convention on Human Rights (ECHR) into UK law and it applies in N Ireland. Indicate below by deleting Yes/No as appropriate, any potential *adverse impacts* that the policy or decision may have in relation to human rights issues.**

See Annex A for brief synopsis on each of the Human Rights Articles & Protocols

|  |  |  |
| --- | --- | --- |
| Right to Life | **Article 2** | No |
| Prohibition of torture, inhuman or degrading treatment | **Article 3** | No |
| Prohibition of slavery and forced labour | **Article 4** | No |
| Right to liberty and security | **Article 5** | No |
| Right to a fair and public trial | **Article 6** | No |
| Right to no punishment without law | **Article 7** | No |
| Right to respect for private and family life, home  and correspondence | **Article 8** | No |
| Right to freedom of thought, conscience and religion | **Article 9** | No |
| Right to freedom of expression | **Article 10** | No |
| Right to freedom of peaceful assembly and association | **Article 11** | No |
| Right to marry and to found a family | **Article 12** | No |
| The prohibition of discrimination | **Article 14** | No |
| Protection of property and enjoyment of possessions | **Protocol 1 Article 1** | No |
| Right to education | **Protocol 1 Article 2** | No |
| Right to free and secret elections | **Protocol 1 Article 3** | No |

8. **Please explain any adverse impacts on human rights that you have identified**

No adverse impacts on human rights have been identified.

9. **Please indicate any ways which you consider the policy positively promotes human rights**

None.

**Part 6 - Approval and authorisation**

# **Screening Checklist**

Before signing off this screening template please confirm that you have completed all the actions listed below.

I can confirm that all the actions listed below have been completed –

* I have explained any technical issues in plain English (easily understood by a 12 year old)
* I have used the most relevant, current & up to date data available
* I have added evidence and explained my assessments in full
* I have provided a brief note to justify my decision to ‘Screen In’ or ‘Screen Out’
* A copy of this screening template and the final decision has been sent to the Equality Unit for their consideration before it has been forwarded for sign-off

**Screening assessment completed by (Staff Officer level or above) -**

**Name:** Daniel McKiernan **Grade:** Deputy Principal

**Branch:** Agri-Food Policy – Poultrymeat, eggs, dairy, wines, spirits, hops and hemp

**Signature:** ****

**Screening decision approved by (must be Grade 3 /Deputy Secretary or above) -**

**Name:** Norman Fulton **Grade:** GD3

**Branch:** Food and Farming Group

**Signature:** 

Note: A copy of the Screening Template, for each policy screened should be ‘signed off’ and approved by a senior manager responsible for the policy, made easily accessible on the public authority’s website as soon as possible following completion and made available on request.

Please save the final signed version of the completed screening form in the CM container (AE2-19-11940) below as soon as possible after completion and forward the CM link to Equality Branch at [equality@daera-ni.gov.uk](mailto:equality@daera-ni.gov.uk). The screening template must be saved to the container in **HTML format** (not PDF) in order to comply with accessibility requirements. The screening form will be placed on the DAERA website and a link provided to the Department’s Section 75 consultees.



For more information about equality screening, contact –

DAERA Equality Unit

Equality, Diversity & Public Appointments Branch

Ballykelly House

111 Ballykelly Road

LIMAVADY  
BT49 9HP

Email: [equality@daera-ni.gov.uk](mailto:equality@daera-ni.gov.uk)

Tel: 028 7744 2027



**Annex A**

**Synopsis of Human Rights Act Articles & Protocols**

***Article 2***

**E+W+S+N.I.*Right to life***

1. Everyone’s right to life shall be protected by law. No one shall be deprived of his life intentionally save in the execution of a sentence of a court following his conviction of a crime for which this penalty is provided by law.**E+W+S+N.I.**
2. Deprivation of life shall not be regarded as inflicted in contravention of this Article when it results from the use of force which is no more than absolutely necessary:**E+W+S+N.I.**

(a) In defense of any person from unlawful violence;

(b) In order to effect a lawful arrest or to prevent the escape of a person lawfully detained;

(c) In action lawfully taken for the purpose of quelling a riot or insurrection.

***Article 3***

**E+W+S+N.I.*Prohibition of torture***

No one shall be subjected to torture or to inhuman or degrading treatment or punishment.

***Article 4***

**E+W+S+N.I.*Prohibition of slavery and forced labour***

1. No one shall be held in slavery or servitude.**E+W+S+N.I.**
2. No one shall be required to perform forced or compulsory labour.**E+W+S+N.I.**
3. For the purpose of this Article the term “forced or compulsory labour” shall not include:**E+W+S+N.I.**

(a) Any work required to be done in the ordinary course of detention imposed according to the provisions of Article 5 of this Convention or during conditional release from such detention;

(b) Any service of a military character or, in case of conscientious objectors in countries where they are recognised, service exacted instead of compulsory military service;

(c) Any service exacted in case of an emergency or calamity threatening the life or well-being of the community;

(d) Any work or service which forms part of normal civic obligations.

***Article 5***

**E+W+S+N.I.*Right to liberty and security***

1. Everyone has the right to liberty and security of person. No one shall be deprived of his liberty save in the following cases and in accordance with a procedure prescribed by law:**E+W+S+N.I.**

(a) The lawful detention of a person after conviction by a competent court;

(b) The lawful arrest or detention of a person for non-compliance with the lawful order of a court or in order to secure the fulfilment of any obligation prescribed by law;

(c) the lawful arrest or detention of a person effected for the purpose of bringing him before the competent legal authority on reasonable suspicion of having committed an offence or when it is reasonably considered necessary to prevent his committing an offence or fleeing after having done so;

(d ) the detention of a minor by lawful order for the purpose of educational supervision or his lawful detention for the purpose of bringing him before the competent legal authority;

(e) The lawful detention of persons for the prevention of the spreading of infectious diseases, of persons of unsound mind, alcoholics or drug addicts or vagrants;

(f) The lawful arrest or detention of a person to prevent his effecting an unauthorised entry into the country or of a person against whom action is being taken with a view to deportation or extradition.

1. Everyone who is arrested shall be informed promptly, in a language which he understands, of the reasons for his arrest and of any charge against him.**E+W+S+N.I.**
2. Everyone arrested or detained in accordance with the provisions of paragraph 1(c) of this Article shall be brought promptly before a judge or other officer authorised by law to exercise judicial power and shall be entitled to trial within a reasonable time or to release pending trial. Release may be conditioned by guarantees to appear for trial.**E+W+S+N.I.**
3. Everyone who is deprived of his liberty by arrest or detention shall be entitled to take proceedings by which the lawfulness of his detention shall be decided speedily by a court and his release ordered if the detention is not lawful.**E+W+S+N.I.**
4. Everyone who has been the victim of arrest or detention in contravention of the provisions of this Article shall have an enforceable right to compensation.**E+W+S+N.I.**

***Article 6***

**E+W+S+N.I.*Right to a fair trial***

1. In the determination of his civil rights and obligations or of any criminal charge against him, everyone is entitled to a fair and public hearing within a reasonable time by an independent and impartial tribunal established by law. Judgment shall be pronounced publicly but the press and public may be excluded from all or part of the trial in the interest of morals, public order or national security in a democratic society, where the interests of juveniles or the protection of the private life of the parties so require, or to the extent strictly necessary in the opinion of the court in special circumstances where publicity would prejudice the interests of justice.**E+W+S+N.I.**
2. Everyone charged with a criminal offence shall be presumed innocent until proved guilty according to law.**E+W+S+N.I.**
3. Everyone charged with a criminal offence has the following minimum rights:**E+W+S+N.I.**

(a) To be informed promptly, in a language which he understands and in detail, of the nature and cause of the accusation against him;

(b) To have adequate time and facilities for the preparation of his defense;

(c) To defend himself in person or through legal assistance of his own choosing or, if he has not sufficient means to pay for legal assistance, to be given it free when the interests of justice so require;

(d) To examine or have examined witnesses against him and to obtain the attendance and examination of witnesses on his behalf under the same conditions as witnesses against him;

(e) To have the free assistance of an interpreter if he cannot understand or speak the language used in court.

***Article 7***

**E+W+S+N.I.*No punishment without law***

1. No one shall be held guilty of any criminal offence on account of any act or omission which did not constitute a criminal offence under national or international law at the time when it was committed. Nor shall a heavier penalty be imposed than the one that was applicable at the time the criminal offence was committed.**E+W+S+N.I.**
2. This Article shall not prejudice the trial and punishment of any person for any act or omission which, at the time when it was committed, was criminal according to the general principles of law recognised by civilised nations.**E+W+S+N.I.**

***Article 8***

**E+W+S+N.I.*Right to respect for private and family life***

1. Everyone has the right to respect for his private and family life, his home and his correspondence.**E+W+S+N.I.**
2. There shall be no interference by a public authority with the exercise of this right except such as is in accordance with the law and is necessary in a democratic society in the interests of national security, public safety or the economic well-being of the country, for the prevention of disorder or crime, for the protection of health or morals, or for the protection of the rights and freedoms of others.**E+W+S+N.I.**

***Article 9***

**E+W+S+N.I.*Freedom of thought, conscience and religion***

1. Everyone has the right to freedom of thought, conscience and religion; this right includes freedom to change his religion or belief and freedom, either alone or in community with others and in public or private, to manifest his religion or belief, in worship, teaching, practice and observance.**E+W+S+N.I.**
2. Freedom to manifest one’s religion or beliefs shall be subject only to such limitations as are prescribed by law and are necessary in a democratic society in the interests of public safety, for the protection of public order, health or morals, or for the protection of the rights and freedoms of others.**E+W+S+N.I.**

***Article 10***

**E+W+S+N.I.*Freedom of expression***

1. Everyone has the right to freedom of expression. This right shall include freedom to hold opinions and to receive and impart information and ideas without interference by public authority and regardless of frontiers. This Article shall not prevent States from requiring the licensing of broadcasting, television or cinema enterprises.**E+W+S+N.I.**
2. The exercise of these freedoms, since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.**E+W+S+N.I.**

***Article 11***

**E+W+S+N.I.*Freedom of assembly and association***

1. Everyone has the right to freedom of peaceful assembly and to freedom of association with others, including the right to form and to join trade unions for the protection of his interests.**E+W+S+N.I.**
2. No restrictions shall be placed on the exercise of these rights other than such as are prescribed by law and are necessary in a democratic society in the interests of national security or public safety, for the prevention of disorder or crime, for the protection of health or morals or for the protection of the rights and freedoms of others. This Article shall not prevent the imposition of lawful restrictions on the exercise of these rights by members of the armed forces, of the police or of the administration of the State.**E+W+S+N.I.**

***Article 12***

**E+W+S+N.I.*Right to marry***

Men and women of marriageable age have the right to marry and to found a family, according to the national laws governing the exercise of this right.

***Article 14***

**E+W+S+N.I.*Prohibition of discrimination***

The enjoyment of the rights and freedoms set forth in this Convention shall be secured without discrimination on any ground such as sex, race, colour, language, religion, political or other opinion, national or social origin, association with a national minority, property, birth or other status.

**Protocol 1**

***Article 1***

**E+W+S+N.I.*Protection of property***

Every natural or legal person is entitled to the peaceful enjoyment of his possessions. No one shall be deprived of his possessions except in the public interest and subject to the conditions provided for by law and by the general principles of international law.

The preceding provisions shall not, however, in any way impair the right of a State to enforce such laws as it deems necessary to control the use of property in accordance with the general interest or to secure the payment of taxes or other contributions or penalties.

**Protocol 1**

***Article 2***

**E+W+S+N.I.*Right to education***

No person shall be denied the right to education. In the exercise of any functions which it assumes in relation to education and to teaching, the State shall respect the right of parents to ensure such education and teaching in conformity with their own religious and philosophical convictions.

**Protocol 1**

***Article***

***3* E+W+S+N.I.*Right to free elections***

The High Contracting Parties undertake to hold free elections at reasonable intervals by secret ballot, under conditions which will ensure the free expression of the opinion of the people in the choice of the legislature

1. [BRES Publication and Tables 2021 | Northern Ireland Statistics and Research Agency (nisra.gov.uk)](https://www.nisra.gov.uk/publications/bres-publication-and-tables-2021) [↑](#footnote-ref-1)