# A4 DAERA Logo process.png

**Equality & Disability Duties**

**Screening Template**

**for**

**The Direct Payments to Farmers (Cross‑Compliance) (Amendment) Regulations (Northern Ireland) 2023**

# **Screening flowchart and template (taken from Section 75 of the Northern Ireland Act 1998 – A Guide for public authorities April 2010 *(Appendix 1)).***

**Introduction**

**Part 1. Policy scoping** – asks public authorities to provide details about the policy, procedure, practice and/or decision being screened and what available evidence you have gathered to help make an assessment of the likely impact on equality of opportunity and good relations.

**Part 2. Screening questions** – asks about the extent of the likely impact of the policy on groups of people within each of the Section 75 categories. Details of the groups consulted and the level of assessment of the likely impact. This includes consideration of multiple identity and good relations issues. This section also includes two questions related to the Disability Duties.

**Part 3. Screening decision** –guides the public authority to reach a screening decision as to whether or not there is a need to carry out an equality impact assessment (EQIA), or tointroducemeasures to mitigate the likely impact, or the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

**Part 4. Monitoring** –provides guidance to public authorities on monitoring for adverse impact and broader monitoring.

**Part 5. Consideration of Human Rights** – please note this is not a Human Rights Screening form but rather a prompt that impacts on Human Rights should be considered.

**Part 6. Approval and authorisation** – verifies the public authority’s approval of a screening decision by a senior manager responsible for the policy.

A screening flowchart is provided overleaf.

Policy Scoping

* + Policy
  + Available data

Screening Questions

* Apply screening questions
* Consider multiple identities

Screening Decision: None/Minor/Major

Mitigate

Publish Template

Re-consider screening

Publish Template

for information

Publish Template

EQIA

Monitor

**‘None’**

Screened out

**‘Major’**

Screened in for EQIA

**‘Minor’**

Screened out with mitigation

Concerns raised with evidence

Concerns raised with evidence re: screening decision

**Part 1. Policy scoping**

The first stage of the screening process involves scoping the policy under consideration. The purpose of policy scoping is to help prepare the background and context and set out the aims and objectives for the policy, being screened. At this stage, scoping the policy will help identify potential constraints as well as opportunities and will help the policy maker work through the screening process on a step by step basis.

Public authorities should remember that the Section 75 statutory duties apply to internal policies (relating to people who work for the authority), as well as external policies (relating to those who are, or could be, served by the authority).

**Information about the policy**

**Name of the policy**

The Direct Payments to Farmers (Cross-Compliance) (Amendment) Regulations (Northern Ireland) 2023

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**Is this an existing, revised or a new policy?**

Revised Policy

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**What is it trying to achieve? (intended aims/outcomes)**

To amend Article 39(4) of Retained Regulation (EU) 640/2014 that provides for the treatment of negligent penalties as intentional where there is a recurrence of the same non-compliance after capping at 15% to now include all nationally funded schemes as of 1 January 2024. This will ensure that the Cross-Compliance Penalty System is proportionate. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Are there any Section 75 categories which might be expected to benefit from the intended policy?**

**If so, explain how.**

No Section 75 group is expected to benefit differently from the intended policy change. All applicants are treated equally in the current process, the changes to the process will not affect any one group more advantageously.

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**Who initiated or wrote the policy?**

On 24 March 2022, Minister Poots approved the recommendation in SUB-0013-2022 that Area-based Schemes Division take forward the introduction of amending legislation to remove the automatic application of intentional penalties to repeated non-compliances for the 2022 scheme year.

A Statutory Rule, SR 2022 No 240 The Direct Payments to Farmers (Cross-Compliance) (Amendment) Regulations (Northern Ireland) 2022, was subsequently made to remove the third subparagraph of Article 39(4) of Retained Regulation (EU) 640/2014 (4) in relation to domestically funded schemes only.

As EU funding ceases with effect from 31 December 2023, it is now proposed that the provisions of this SR are extended to include all those schemes which were previously excluded, such as the Environmental Farming Scheme and Small Woodland Grant Scheme, from 1 January 2024.

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**Who owns and who implements the policy?**

Department of Agriculture, Environment and Rural Affairs (DAERA).

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**Implementation factors**

**Are there any factors which could contribute to/detract from the intended aim/outcome of the policy/decision?**

None

**Main stakeholders affected**

**Who are the internal and external stakeholders (actual or potential) that the policy will impact upon? (please delete as appropriate)**

**Staff**

None

**Service users**

Independent farmers and those represented organisations such as by:

* Northern Ireland Agricultural Producers' Association (NIAPA)
* Ulster Farmers Union (UFU)
* Ulster Arable Society (UAS)
* NI Agricultural Consultant’s Association (NIACA)
* Royal Society for Protection of Birds (NI) RSPB (NI)
* The Association of Veterinary Surgeons Practising in Northern Ireland (AVSPNI)

Other policies with a bearing on this policy

* **What are they?** None
* **Who owns them?**

**Available evidence**

Evidence to help inform the screening process may take many forms. Public authorities should ensure that their screening decision is informed by relevant data. The Commission has produced this guide to [signpost to S75 data](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/Public%20Authorities/S75DataSignpostingGuide.pdf).

What evidence/information (both qualitative and quantitative) have you gathered to inform this policy? Specify details for each of the Section 75 categories.

A total of 24,489 applications for farm support have been received for the 2023 scheme year, with 24,491 received in 2022 and 24,531 in 2021. In 2023, of the 24,489 applications received, 5881 also included EU co-funded schemes. This change in legislation will apply to all applicants for all area-based scheme payments which are subject to the Cross-Compliance requirements and are currently co-funded by EU.

[The report Equality Indicators for Northern Ireland Farmers](https://view.officeapps.live.com/op/view.aspx?src=https%3A%2F%2Fwww.daera-ni.gov.uk%2Fsites%2Fdefault%2Ffiles%2Fpublications%2Fdaera%2Ffinal-equality-indicators-report.xls&wdOrigin=BROWSELINK) reported that 24,471 businesses were reported against in the 2010 Farm Structure Survey.

**Religious belief** evidence/information:

Just over 42% of farmers in Northern Ireland were catholic with 51% stating their religion as protestant or other Christian religion. The remaining 6% were of ‘other’ or no religion. Catholics were much more likely than Protestants to farm on very small farms, with 85% of Catholics farming small farms compared to 68% of Protestants and only 2% having large farms compared to 10% of Protestant farms.

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**Political Opinion** evidence/information:

Information was not collected on political opinion on the Population Census 2011.

The Northern Ireland Life and Times - Political Attitudes

<https://www.ark.ac.uk/nilt/results/polatt.html>

Political Attitudes in Northern Ireland 25 Years after the Agreement found that the breakdown of self-described community identities were unionist 31%, nationalist 26% and ‘neither’ 38%.

Northern Ireland Life and Times (NILT) is a social attitudes survey. In 2022 six out of ten respondents did not think of themselves as supporters of any particular party. One in two say they feel a little closer to one party than others. As with previous NILT surveys, Alliance Party voters appear to be over-represented, while Sinn Féin and Democratic Unionist Party (DUP) voters are under-represented.

May 2022 had seen Sinn Féin returned as the largest party in the Assembly (27 seats), with the DUP second largest (25 seats), meaning that for the first time the First Minister post was to be filled by a nationalist MLA.

The representation of political parties at district council has also been used here as an indication of political opinion in Northern Ireland. The breakdown is as follows:

Antrim and Newtownabbey; Alliance 8; DUP 13; Independent 2; Sinn Féin 9; SDLP 1; UUP 7 (total 40)

Ards and North Down; Alliance 12; DUP 14; Green Party 2; Independent 3; SDLP 1 ; UUP 8 (total 40)

Armagh City, Banbridge and Craigavon; Alliance 4; DUP 13; Independent 1; SDLP 1; Sinn Féin 15; TUV 1; UUP 6 (total 41)

Belfast; Alliance 11; DUP 14; Green Party 3; Independent 1; Sinn Féin 22; SDLP 5; TUV 1; UUP 2; PBP 1 (total 60)

Causeway Coast and Glens; Alliance 5; DUP 13; PUP 1; Sinn Féin 12; SDLP 3; TUV 2; UUP 4 (total 40)

Derry City and Strabane; DUP 5; Independent 3; PBP 1; Sinn Féin 18 ; SDLP 10; UUP 3 (total 40)

Fermanagh and Omagh; Alliance 2; DUP 6; Independent 1; Sinn Féin 21; SDLP 3; UUP 7 (total 40)

Lisburn and Castlereagh; Alliance 13; DUP 14; Independent 1; Sinn Féin 4; SDLP 2; UUP 6 (total 40)

Mid and East Antrim; Alliance 7; DUP 14; Independent 2; Sinn Féin 4; TUV 5; UUP 8 (total 40)

Mid Ulster; DUP 11; Independent 3; Sinn Féin 19; SDLP 5; UUP 2 (total 40)

Newry, Mourne and Down; Alliance 5; DUP 5; Independent 2; Sinn Féin 20; SDLP 8; UUP 1 (total 41)

Source: <https://www.ark.ac.uk/elections/flg23.htm>

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Racial Group** evidence/information:

The proportion of farmers stating an ethnicity other than white was too small to examine differences by farm characteristics.

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Age** evidence/information:

The average age of farmers in Northern Ireland was 59 years old. Only 8% of farmers were aged under 40 years and 36% were aged 65 years or older

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Marital Status** evidence/information:

73% of farmers were married with theproportion of married farmers increasing with farm size.84% of farmers of large farms were married compared to 71% of farmers of very small farms. 13% of very small farms were single, 9% of farmers of medium sized farms were single and 9% of farmers on large farms were single.

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Sexual Orientation** evidence/information:

Information on sexual orientation was not collected in the Population Census 2011.

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Men & Women generally** evidence/information:

Only 9% of main farmers were female.Female farmers were more likely than their male counterparts to farm on very small farms. 87% of women farmers had small farms compared to 75% of male farmers.

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Disability** evidence/information:

30% of farmers stated that they had long-term illness or disability which limited their daily activities with the incident of disability inversely related to farm size. The proportion of farmers of very small farms (16%) stating that their activities were limited a lot was twice that of farmers of large farms (8%).

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Dependants** evidence/information:

40% of all farm households contained children under 18 years old, elderly disabled people or both. Households of medium sized farms were slightly more likely than smaller or large farms to contain dependents.

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

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**Needs, experiences and priorities**

Taking into account the information referred to above, what are the different needs, experiences and priorities of each of the following categories, in relation to the particular policy/decision?

**Specify details of the needs, experiences and priorities for each of the Section 75 categories below:**

***Religious belief***  
Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Political Opinion***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Racial Group***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Age***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Marital status***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Sexual orientation***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Men and Women Generally***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Disability***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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***Dependants***

Penalties are proportionate for Cross-Compliance breaches, in line with guidance and legislation. No specific needs, experience or priorities have been identified.

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**Part 2. Screening questions**

**Introduction**

In making a decision as to whether or not there is a need to carry out an equality impact assessment, the public authority should consider its answers to the questions 1-4.

If the public authority’s conclusion is **none** in respect of all of the Section 75 equality of opportunity and/or good relations categories, then the public authority may decide to screen the policy out. If a policy is ‘screened out’ as having no relevance to equality of opportunity or good relations, a public authority should give details of the reasons for the decision taken.

If the public authority’s conclusion is **major** in respect of one or more of the Section 75 equality of opportunity and/or good relations categories, then consideration should be given to subjecting the policy to the equality impact assessment procedure.

If the public authority’s conclusion is **minor** in respect of one or more of the Section 75 equality categories and/or good relations categories, then consideration should still be given to proceeding with an equality impact assessment, or to:

* measures to mitigate the adverse impact; or
* the introduction of an alternative policy to better promote equality of opportunity and/or good relations.

**In favour of a ‘major’ impact**

1. The policy is significant in terms of its strategic importance;
2. Potential equality impacts are unknown, because, for example, there is insufficient data upon which to make an assessment or because they are complex, and it would be appropriate to conduct an equality impact assessment in order to better assess them;
3. Potential equality and/or good relations impacts are likely to be adverse or are likely to be experienced disproportionately by groups of people including those who are marginalised or disadvantaged;
4. Further assessment offers a valuable way to examine the evidence and develop recommendations in respect of a policy about which there are concerns amongst affected individuals and representative groups, for example in respect of multiple identities;
5. The policy is likely to be challenged by way of judicial review;
6. The policy is significant in terms of expenditure.

**In favour of ‘minor’ impact**

1. The policy is not unlawfully discriminatory and any residual potential impacts on people are judged to be negligible;
2. The policy, or certain proposals within it, are potentially unlawfully discriminatory, but this possibility can readily and easily be eliminated by making appropriate changes to the policy or by adopting appropriate mitigating measures;
3. Any asymmetrical equality impacts caused by the policy are intentional because they are specifically designed to promote equality of opportunity for particular groups of disadvantaged people;
4. By amending the policy there are better opportunities to better promote equality of opportunity and/or good relations.

**In favour of none**

1. The policy has no relevance to equality of opportunity or good relations.
2. The policy is purely technical in nature and will have no bearing in terms of its likely impact on equality of opportunity or good relations for people within the equality and good relations categories.

Taking into account the evidence presented above, consider and comment on the likely impact on equality of opportunity and good relations for those affected by this policy, in any way, for each of the equality and good relations categories, by applying the screening questions given overleaf and indicate the level of impact on the group i.e. minor, major or none.**Screening questions**

1. **What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 equality categories?**

Please provide details of the likely policy impacts and determine the level of impact for each S75 categories below i.e. either minor, major or none.

**Details of the likely policy impacts on *Religious belief*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Political Opinion:*** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Racial Group*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Age*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Marital Status*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Sexual Orientation*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Men and Women*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Disability*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

**Details of the likely policy impacts on *Dependants*:** (Change made to legislation will be applied equally to all scheme applicants)

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

**What is the level of impact?** None.

1. **Are there opportunities to better promote equality of opportunity for people within the Section 75 equalities categories?** No

Detail opportunities of how this policy could promote equality of opportunity for people within each of the Section 75 Categories below:

***Religious Belief* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Political Opinion* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Racial Group* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Age* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Marital Status* - If Yes, provide details:**

**If No, provide reasons**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Sexual Orientation* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Men and Women generally* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Disability* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

***Dependants* - If Yes, provide details:**

**If No, provide reasons:**

The policy is neutral for all the Section 75 categories, with regard to equality of opportunity, and there is no facility in the policy implementation proposals to better promote equality of opportunity for this category.

1. **To what extent is the policy likely to impact on good relations between people of different religious belief, political opinion or racial group?**

Please provide details of the likely policy impact and determine the level of impact for each of the categories below i.e. either minor, major or none.

**Details of the likely policy impacts on *Religious belief*:** (The policy will be applied equally to all scheme applicants so there should be no impact)

It is unlikely that this revised policy will impact on good relations between people of different religious belief in any way.

**What is the level of impact?** None

**Details of the likely policy impacts on *Political Opinion*:** (The policy will be applied equally to all scheme applicants so there should be no impact)

It is unlikely that this revised policy will impact on good relations between people of different political opinion in any way.

**What is the level of impact?** None

**Details of the likely policy impacts on *Racial Group*:** (The policy will be applied equally to all scheme applicants so there should be no impact)

It is unlikely that this revised policy will impact on good relations between people of different racial group in any way.

**What is the level of impact?** None

1. **Are there opportunities to better promote good relations between people of different religious belief, political opinion or racial group?**

Detail opportunities of how this policy could better promote good relations for people within each of the Section 75 Categories below:

***Religious Belief* - If Yes, provide details:**

**If No, provide reasons:**

The process is neutral with regard to promoting good relations between people of different religious beliefs, political opinion or racial groups. Consequently, there is no facility in the proposed process to better promote good relations between these groupings.

***Political Opinion* - If Yes, provide details:**

**If No, provide reasons**

The process is neutral with regard to promoting good relations between people of different religious beliefs, political opinion or racial groups. Consequently, there is no facility in the proposed process to better promote good relations between these groupings.

**Racial Group - If Yes, provide details:**

**If No, provide reasons**

The process is neutral with regard to promoting good relations between people of different religious beliefs, political opinion or racial groups. Consequently, there is no facility in the proposed process to better promote good relations between these groupings.

**Additional considerations**

**Multiple identity**

Generally speaking, people can fall into more than one Section 75 category. Taking this into consideration, are there any potential impacts of the policy/decision on people with multiple identities?  If so, please detail below.

(*For example; disabled minority ethnic people; disabled women; young Protestant men; and young lesbians, gay and bisexual people).*

Decisions to apply a Cross-Compliance penalty are made on the facts of the case, legislation and Cross-Compliance Business Rules. There are no potential differential impacts on people with multiple identities.

**Provide details of data on the impact of the policy on people with multiple identities. Specify relevant Section 75 categories concerned.**

The proposed process is neutral for all the Section 75 categories, with regard to people with multiple identities**.**

DAERA also has legislative obligations to meet under the Disability Discrimination Order. Questions 5 – 6 relate to these.

Consideration of Disability Duties

1. **Does this proposed policy or decision provide an opportunity for DAERA to better *promote positive attitudes* towards disabled people?**

The proposed change to the legislation is neutral for all the Section 75 categories, with regard to the consideration of disability duties. The Department will continue to operate its current policy of providing communication and access to information in different formats as requested for people with disabilities.

6. **Does this proposed policy or decision provide an opportunity to actively *increase the participation* by disabled people in public life?**

The proposed change to the legislation is neutral for all the Section 75 categories, with regard to the consideration of disability duties. There is no opportunity for DAERA to actively increase the participation by people with disabilities in public life.

**Part 3. Screening decision** (Please delete as appropriate)

1. “Screened out” without mitigation or an alternative policy proposed to be adopted

**If the decision is *not to conduct an equality impact assessment*, please provide details of the reasons.**

The change to the legislation will ensure that the Cross-Compliance Penalty System penalties are proportionate by removing the automatic consideration as intentional of those repeat negligent non-compliances which have already reached the cap at 15%. No adverse or differential impact has been identified so an equality impact assessment is not required.

**If the decision is not to conduct an equality impact assessment the public authority should consider if the policy should *be mitigated or an alternative policy be introduced* - please provide details.**

No mitigation is required

**If the decision is to *subject the policy to an equality impact assessment*, please provide details of the reasons.**

All public authorities’ equality schemes must state the authority’s arrangements for assessing and consulting on the likely impact of policies adopted or proposed to be adopted by the authority on the promotion of equality of opportunity. The Commission recommends screening and equality impact assessment as the tools to be utilised for such assessments. Further advice on equality impact assessment may be found in a separate Commission publication: [A Practical Guide to Equality Impact Assessment](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/PracticalGuidanceonEQIA2005.pdf?ext=.pdf)

**Mitigation**

When the public authority concludes that the likely impact is ‘minor’ and an equality impact assessment is not to be conducted, the public authority may consider mitigation to lessen the severity of any equality impact, or the introduction of an alternative policy to better promote equality of opportunity or good relations.

**Can the policy/decision be amended or changed or an alternative policy introduced to better promote equality of opportunity and/or good relations? No (delete as appropriate)**

**If so, *give the reasons* to support your decision, together with the proposed changes/amendments or alternative policy.**

NA

**Timetabling and prioritising**

Factors to be considered in timetabling and prioritising policies for equality impact assessment.

If the policy has been **‘screened in’** for equality impact assessment, then please answer the following questions to determine its priority for timetabling the equality impact assessment.

**On a scale of 1-3, with 1 being the lowest priority and 3 being the highest, assess the policy in terms of its priority for equality impact assessment.**

| **Priority criterion** | **Rating (1-3)** |
| --- | --- |
| Effect on equality of opportunity and good relations |  |
| Social need |  |
| Effect on people’s daily lives |  |
| Relevance to a public authority’s functions |  |
| **Total score** |  |

Note: The Total Rating Score should be used to prioritise the policy in rank order with other policies screened in for equality impact assessment. This list of priorities will assist the public authority in timetabling. Details of the Public Authority’s Equality Impact Assessment Timetable should be included in the quarterly Screening Report.

**Is the policy affected by timetables established by other relevant public authorities?** NA

**If yes, please provide details.**

**Part 4. Monitoring**

Section 75 places a requirement on DAERA to have equality monitoring arrangements in place in order to assess the impact of policies and services etc; and to help identify barriers to fair participation and to better promote equality of opportunity. Please note the following excerpt from The Equality Commission for Northern Ireland in relation to monitoring:

*A system must be established to monitor the impact of the policy in order to find out its effect on relevant groups. The results of ongoing monitoring must be reviewed on an annual basis. The public authority is required to publish the results of this monitoring. And they must be included in the public authorities´ annual review on progress to the Equality Commission. The Equality Scheme must specify how and where such monitoring information will be published. It is therefore essential that monitoring is carried out in a systematic manner and that the results are widely and openly published.*

*If the monitoring and analysis of results over a two year period show that the policy results in greater adverse impact than predicted, or if opportunities arise which would allow for greater equality of opportunity to be promoted, the public authority must ensure that the policy is revised to achieve better outcomes for the relevant equality groups.*

Further advice on monitoring can be found at: [ECNI Monitoring Guidance for Public Authorities](https://www.equalityni.org/ECNI/media/ECNI/Publications/Employers%20and%20Service%20Providers/S75MonitoringGuidance2007.pdf?ext=.pdf)

Outline what data you will collect in the future in order to monitor the impact of this policy or decision on equality, good relations and disability duties.

**Equality:** All applicants claiming subsidies or grants are invited to complete a Monitoring template which records data for equality purposes.

**Good Relations**: No specific data will be collected as the proposal will have no impact on good relations.

**Disability Duties:** All applicants claiming subsidies or grants are invited to complete a Monitoring template which records data for Section 75 purposes.

**Part 5. Consideration of Human Rights**

1. **The Human Rights Act (HRA) 1998 brings the European Convention on Human Rights (ECHR) into UK law and it applies in N Ireland. Indicate below by deleting Yes/No as appropriate, any potential *adverse impacts* that the policy or decision may have in relation to human rights issues.**

See Annex A for brief synopsis on each of the Human Rights Articles & Protocols

|  |  |  |
| --- | --- | --- |
| Right to Life | **Article 2** | No |
| Prohibition of torture, inhuman or degrading treatment | **Article 3** | No |
| Prohibition of slavery and forced labour | **Article 4** | No |
| Right to liberty and security | **Article 5** | No |
| Right to a fair and public trial | **Article 6** | No |
| Right to no punishment without law | **Article 7** | No |
| Right to respect for private and family life, home  and correspondence | **Article 8** | No |
| Right to freedom of thought, conscience and religion | **Article 9** | No |
| Right to freedom of expression | **Article 10** | No |
| Right to freedom of peaceful assembly and association | **Article 11** | No |
| Right to marry and to found a family | **Article 12** | No |
| The prohibition of discrimination | **Article 14** | No |
| Protection of property and enjoyment of possessions | **Protocol 1 Article 1** | No |
| Right to education | **Protocol 1 Article 2** | No |
| Right to free and secret elections | **Protocol 1 Article 3** | No |

8. **Please explain any adverse impacts on human rights that you have identified**

No adverse impact on human rights has been identified

9. **Please indicate any ways which you consider the policy positively promotes human rights**

The policy does not create any opportunity to promote human rights.

**Part 6 - Approval and authorisation**

# **Screening Checklist**

Before signing off this screening template please confirm that you have completed all the actions listed below.

I can confirm that all the actions listed below have been completed –

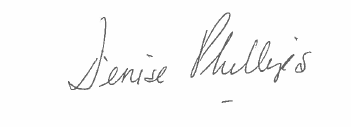
* I have explained any technical issues in plain English (easily understood by a 12 year old)
* I have used the most relevant, current & up to date data available
* I have added evidence and explained my assessments in full
* I have provided a brief note to justify my decision to ‘Screen In’ or ‘Screen Out’
* A copy of this screening template and the final decision has been sent to the Equality Unit for their consideration before it has been forwarded for sign-off

**Screening assessment completed by (Staff Officer level or above) -**

**Name: Denise Phillips** **Grade: DP**

**Branch:** ASOPB **Date: 15-09-2023**

**Signature:** please insert a scanned image of your signature



**Screening decision approved by (must be Grade 3/Deputy Secretary or above) -**

**Name:** Norman Fulton **Grade:** G3

**Branch:**  **Date: 4/10/2023**

**Signature:** please insert a scanned image of your signature

Note: A copy of the Screening Template, for each policy screened should be ‘signed off’ and approved by a senior manager responsible for the policy, made easily accessible on the public authority’s website as soon as possible following completion and made available on request.

Please save the final signed version of the completed screening form in the CM container (AE2-19-11940) below as soon as possible after completion and forward the CM link to Equality Branch at [equality@daera-ni.gov.uk](mailto:equality@daera-ni.gov.uk). The screening template must be saved to the container in **HTML format** (not PDF) in order to comply with accessibility requirements. The screening form will be placed on the DAERA website and a link provided to the Department’s Section 75 consultees.



For more information about equality screening, contact –

DAERA Equality Unit

Equality, Diversity & Public Appointments Branch

Ballykelly House

111 Ballykelly Road

LIMAVADY  
BT49 9HP

Email: [equality@daera-ni.gov.uk](mailto:equality@daera-ni.gov.uk)

Tel: 028 7744 2027



**Annex A**

**Synopsis of Human Rights Act Articles & Protocols**

***Article 2***

**E+W+S+N.I.*Right to life***

1. Everyone’s right to life shall be protected by law. No one shall be deprived of his life intentionally save in the execution of a sentence of a court following his conviction of a crime for which this penalty is provided by law.**E+W+S+N.I.**
2. Deprivation of life shall not be regarded as inflicted in contravention of this Article when it results from the use of force which is no more than absolutely necessary:**E+W+S+N.I.**

(a) In defense of any person from unlawful violence;

(b) In order to effect a lawful arrest or to prevent the escape of a person lawfully detained;

(c) In action lawfully taken for the purpose of quelling a riot or insurrection.

***Article 3***

**E+W+S+N.I.*Prohibition of torture***

No one shall be subjected to torture or to inhuman or degrading treatment or punishment.

***Article 4***

**E+W+S+N.I.*Prohibition of slavery and forced labour***

1. No one shall be held in slavery or servitude.**E+W+S+N.I.**
2. No one shall be required to perform forced or compulsory labour.**E+W+S+N.I.**
3. For the purpose of this Article the term “forced or compulsory labour” shall not include:**E+W+S+N.I.**

(a) Any work required to be done in the ordinary course of detention imposed according to the provisions of Article 5 of this Convention or during conditional release from such detention;

(b) Any service of a military character or, in case of conscientious objectors in countries where they are recognised, service exacted instead of compulsory military service;

(c) Any service exacted in case of an emergency or calamity threatening the life or well-being of the community;

(d) Any work or service which forms part of normal civic obligations.

***Article 5***

**E+W+S+N.I.*Right to liberty and security***

1. Everyone has the right to liberty and security of person. No one shall be deprived of his liberty save in the following cases and in accordance with a procedure prescribed by law:**E+W+S+N.I.**

(a) The lawful detention of a person after conviction by a competent court;

(b) The lawful arrest or detention of a person for non-compliance with the lawful order of a court or in order to secure the fulfilment of any obligation prescribed by law;

(c) the lawful arrest or detention of a person effected for the purpose of bringing him before the competent legal authority on reasonable suspicion of having committed an offence or when it is reasonably considered necessary to prevent his committing an offence or fleeing after having done so;

(d ) the detention of a minor by lawful order for the purpose of educational supervision or his lawful detention for the purpose of bringing him before the competent legal authority;

(e) The lawful detention of persons for the prevention of the spreading of infectious diseases, of persons of unsound mind, alcoholics or drug addicts or vagrants;

(f) The lawful arrest or detention of a person to prevent his effecting an unauthorised entry into the country or of a person against whom action is being taken with a view to deportation or extradition.

1. Everyone who is arrested shall be informed promptly, in a language which he understands, of the reasons for his arrest and of any charge against him.**E+W+S+N.I.**
2. Everyone arrested or detained in accordance with the provisions of paragraph 1(c) of this Article shall be brought promptly before a judge or other officer authorised by law to exercise judicial power and shall be entitled to trial within a reasonable time or to release pending trial. Release may be conditioned by guarantees to appear for trial.**E+W+S+N.I.**
3. Everyone who is deprived of his liberty by arrest or detention shall be entitled to take proceedings by which the lawfulness of his detention shall be decided speedily by a court and his release ordered if the detention is not lawful.**E+W+S+N.I.**
4. Everyone who has been the victim of arrest or detention in contravention of the provisions of this Article shall have an enforceable right to compensation.**E+W+S+N.I.**

***Article 6***

**E+W+S+N.I.*Right to a fair trial***

1. In the determination of his civil rights and obligations or of any criminal charge against him, everyone is entitled to a fair and public hearing within a reasonable time by an independent and impartial tribunal established by law. Judgment shall be pronounced publicly but the press and public may be excluded from all or part of the trial in the interest of morals, public order or national security in a democratic society, where the interests of juveniles or the protection of the private life of the parties so require, or to the extent strictly necessary in the opinion of the court in special circumstances where publicity would prejudice the interests of justice.**E+W+S+N.I.**
2. Everyone charged with a criminal offence shall be presumed innocent until proved guilty according to law.**E+W+S+N.I.**
3. Everyone charged with a criminal offence has the following minimum rights:**E+W+S+N.I.**

(a) To be informed promptly, in a language which he understands and in detail, of the nature and cause of the accusation against him;

(b) To have adequate time and facilities for the preparation of his defense;

(c) To defend himself in person or through legal assistance of his own choosing or, if he has not sufficient means to pay for legal assistance, to be given it free when the interests of justice so require;

(d) To examine or have examined witnesses against him and to obtain the attendance and examination of witnesses on his behalf under the same conditions as witnesses against him;

(e) To have the free assistance of an interpreter if he cannot understand or speak the language used in court.

***Article 7***

**E+W+S+N.I.*No punishment without law***

1. No one shall be held guilty of any criminal offence on account of any act or omission which did not constitute a criminal offence under national or international law at the time when it was committed. Nor shall a heavier penalty be imposed than the one that was applicable at the time the criminal offence was committed.**E+W+S+N.I.**
2. This Article shall not prejudice the trial and punishment of any person for any act or omission which, at the time when it was committed, was criminal according to the general principles of law recognised by civilised nations.**E+W+S+N.I.**

***Article 8***

**E+W+S+N.I.*Right to respect for private and family life***

1. Everyone has the right to respect for his private and family life, his home and his correspondence.**E+W+S+N.I.**
2. There shall be no interference by a public authority with the exercise of this right except such as is in accordance with the law and is necessary in a democratic society in the interests of national security, public safety or the economic well-being of the country, for the prevention of disorder or crime, for the protection of health or morals, or for the protection of the rights and freedoms of others.**E+W+S+N.I.**

***Article 9***

**E+W+S+N.I.*Freedom of thought, conscience and religion***

1. Everyone has the right to freedom of thought, conscience and religion; this right includes freedom to change his religion or belief and freedom, either alone or in community with others and in public or private, to manifest his religion or belief, in worship, teaching, practice and observance.**E+W+S+N.I.**
2. Freedom to manifest one’s religion or beliefs shall be subject only to such limitations as are prescribed by law and are necessary in a democratic society in the interests of public safety, for the protection of public order, health or morals, or for the protection of the rights and freedoms of others.**E+W+S+N.I.**

***Article 10***

**E+W+S+N.I.*Freedom of expression***

1. Everyone has the right to freedom of expression. This right shall include freedom to hold opinions and to receive and impart information and ideas without interference by public authority and regardless of frontiers. This Article shall not prevent States from requiring the licensing of broadcasting, television or cinema enterprises.**E+W+S+N.I.**
2. The exercise of these freedoms, since it carries with it duties and responsibilities, may be subject to such formalities, conditions, restrictions or penalties as are prescribed by law and are necessary in a democratic society, in the interests of national security, territorial integrity or public safety, for the prevention of disorder or crime, for the protection of health or morals, for the protection of the reputation or rights of others, for preventing the disclosure of information received in confidence, or for maintaining the authority and impartiality of the judiciary.**E+W+S+N.I.**

***Article 11***

**E+W+S+N.I.*Freedom of assembly and association***

1. Everyone has the right to freedom of peaceful assembly and to freedom of association with others, including the right to form and to join trade unions for the protection of his interests.**E+W+S+N.I.**
2. No restrictions shall be placed on the exercise of these rights other than such as are prescribed by law and are necessary in a democratic society in the interests of national security or public safety, for the prevention of disorder or crime, for the protection of health or morals or for the protection of the rights and freedoms of others. This Article shall not prevent the imposition of lawful restrictions on the exercise of these rights by members of the armed forces, of the police or of the administration of the State.**E+W+S+N.I.**

***Article 12***

**E+W+S+N.I.*Right to marry***

Men and women of marriageable age have the right to marry and to found a family, according to the national laws governing the exercise of this right.

***Article 14***

**E+W+S+N.I.*Prohibition of discrimination***

The enjoyment of the rights and freedoms set forth in this Convention shall be secured without discrimination on any ground such as sex, race, colour, language, religion, political or other opinion, national or social origin, association with a national minority, property, birth or other status.

**Protocol 1**

***Article 1***

**E+W+S+N.I.*Protection of property***

Every natural or legal person is entitled to the peaceful enjoyment of his possessions. No one shall be deprived of his possessions except in the public interest and subject to the conditions provided for by law and by the general principles of international law.

The preceding provisions shall not, however, in any way impair the right of a State to enforce such laws as it deems necessary to control the use of property in accordance with the general interest or to secure the payment of taxes or other contributions or penalties.

**Protocol 1**

***Article 2***

**E+W+S+N.I.*Right to education***

No person shall be denied the right to education. In the exercise of any functions which it assumes in relation to education and to teaching, the State shall respect the right of parents to ensure such education and teaching in conformity with their own religious and philosophical convictions.

**Protocol 1**

***Article***

***3* E+W+S+N.I.*Right to free elections***

The High Contracting Parties undertake to hold free elections at reasonable intervals by secret ballot, under conditions which will ensure the free expression of the opinion of the people in the choice of the legislature